

Swissquote Bank SA
Head Office

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United States Bankruptcy Court for the southern
District of New York (the "Bankruptcy Court")
One Bowling Green New York
New York 10004
Attention: Clerk of the Court
United States of America

Gland, 5 September 2017



TRANSFER OF CLAIM

Dear all,

Please find attached the document duly completed and signed.

Thank you to proceed as requested, and confirm me once the transfer of claim is done :

Swissquote Bank SA
Back Office & Banking Applications
Ch.de la Crétaux 33
CH-1196 Gland
Switzerland

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Aristote.Buetuzeyi@swissquote.ch

Swissquote Bank SA

Aristote Buetuzeyi
Settlement Agent

SO-4108

Evidence of Transfer

EVIDENCE OF TRANSFER OF CLAIM



TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")
One Bowling Green
New York, New York 10004
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	AMOUNT
Cap.Prot. Cert. Lehman Bros BV 2007-5.11.10	CH0027120861	LBT BV	LBHI	USD 50'000.00

The aggregate amount of the Transferred Claim is to Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

UBS AG ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Swissquote Bank SA
Chemin de la Cretaux 33
1196 Gland
Switzerland
+41 22 999 97 99
Attn: Corporate Actions Service
Corporate.actions@swissquote.ch

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court

may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated June 16, 2017.

UBS AG
Transferor

By: 

Name: Stephan Gfeller
Title: Associate Director

By: 

Name: Hugo Koller
Title: Director

ACKNOWLEDGED BY:

Swissquote Bank SA
Transferee

By: 

Name: David Sousa
Title: Responsible Settlement
Swissquote Bank SA

05.09.17

By: 

Name: Isabella Simões
Title: Swissquote Bank SA
Authorized Officer

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)
Court ID (Court Use Only) _____

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Swissquote Bank SA	<u>Name of Transferor:</u> UBS AG
Notices to Transferee should be sent to: Swissquote Bank SA Chemin de la Cretaux 33 1196 Gland Switzerland +41 22 999 97 99 Attn: Corporate Actions Service Corporate.actions@swissquote.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u> CHF 50'000.00 (Face amount)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	


I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:


Swissquote Bank SA **David Sousa**
Chemin de la Cretaux 33
1196 Gland
Switzerland
Responsible Settlement
Swissquote Bank SA

Date:

05.09.2017


Isabella Simões
Swissquote Bank SA
Authorized Officer

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).